10/649,871

## IN THE CLAIMS:

Please amend Claim 5 to read as follows:

Claims 1 to 4 (Cancelled).

- (Currently Amended) An information recording and/or reading apparatus comprising:
  - a base plate having a notch therein;
  - a support plate;
- a spindle motor <u>having</u> for rotating a disk by an output shaft perpendicular to said base plate, said output shaft rotatably driving a disk;
- a head unit, rotary alignment control device supported on said support plate,

  and for determining a rotation position of a recording/reading head of the of a head unit about a

  rotary alignment axis parallel to the output shaft of said spindle motor;
- a vertical pivot shaft extending parallel to the rotary alignment axis; and
  a pivotal mechanism for pivoting said support plate, on which said head unit
  rotary alignment control device is supported, about said vertical shaft relative to said base plate,
  wherein at least a portion of said head unit, or a support portion of said head unit supported on
  said support plate, passes through the notch of said base plate.

6. (Original) An apparatus according to claim 5, further comprising:

a moving stage which mounts said spindle motor; and

a stage driving mechanism for driving said moving stage toward or away from the rotary alignment axis.

Claim 7 (Cancelled).